



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/977,488	10/16/2001		12289

Dorsey & Whitney LLP
Suite 300 South
1001 Pennsylvania Avenue
Washington, DC 20004



CONFIRMATION NO. 3105

FORMALITIES LETTER



OC000000006969937

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$198.
 ■ \$198 for 11 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1068.**

*A copy of this notice **MUST** be returned with the reply.*

12/31/2001 NBERHE 00000059 041425 09977488

01 FC:101
02 FC:105
03 FC:103

740.00 CH
130.00 CH
198.00 CH

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Attorney Docket No.: 12289

Section 101
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: William D. SWART, et al.

Examiner: n/a

Serial No.: 09/977,488

Art Unit: 2614

Filed: October 16, 2001

Title: VIDEO AND DIGITAL MULTIMEDIA AGGREGATOR CONTENT
AVAILABILITY NOTIFICATION SYSTEM AND METHOD

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION UNDER 37 CFR 1.53(b)

Sir:

In response to the Notice To File Missing Parts dated October 25, 2001, please find enclosed the following:

- Fully executed Declaration and Power of Attorney in compliance with 37 CFR 1.63
- Late filing fee surcharge of \$130.00 for late submittal of Declaration in compliance with 37 CFR 1.16(I)
- Statutory basic filing fee \$740.00
- Additional claim fees \$198.00
- Petition For One Month Extension of Time
- Copy of Notice To File Missing Parts Of Nonprovisional Application

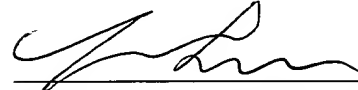
The Commissioner is hereby authorized to charge the fees needed in connection with filing, surcharge, and additional claim fees in the amount of **\$1,068.00** to Deposit Account No. **04-1425**. In addition, the Commissioner may charge any excess or insufficiency to the above deposit account number in connection with this communication. A duplicate copy of this letter is

App. No. 09/977,488

transmitted for that purpose.

Respectfully submitted,

Date: December 28, 2001



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